

Beyond Binary: **Continuous** Freeze—Thaw from **L-band** Missions

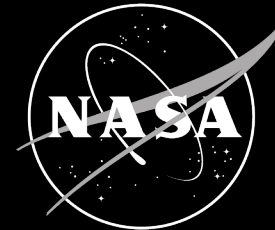
Xiaolan Xu

Jet Propulsion Laboratory, California Institute of Technology, CA, USA

SEMINAR • RIVE

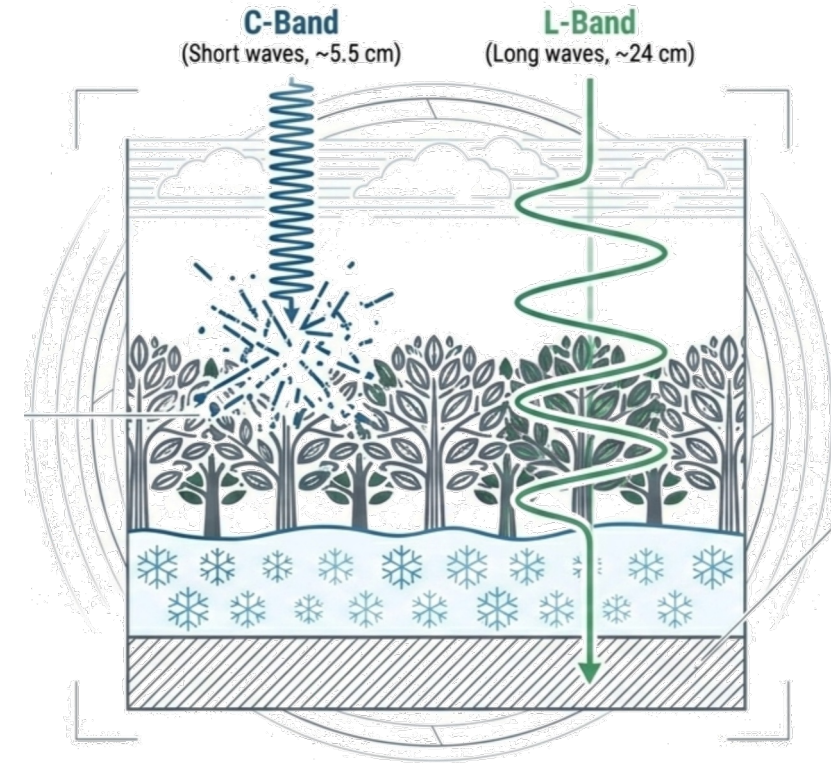
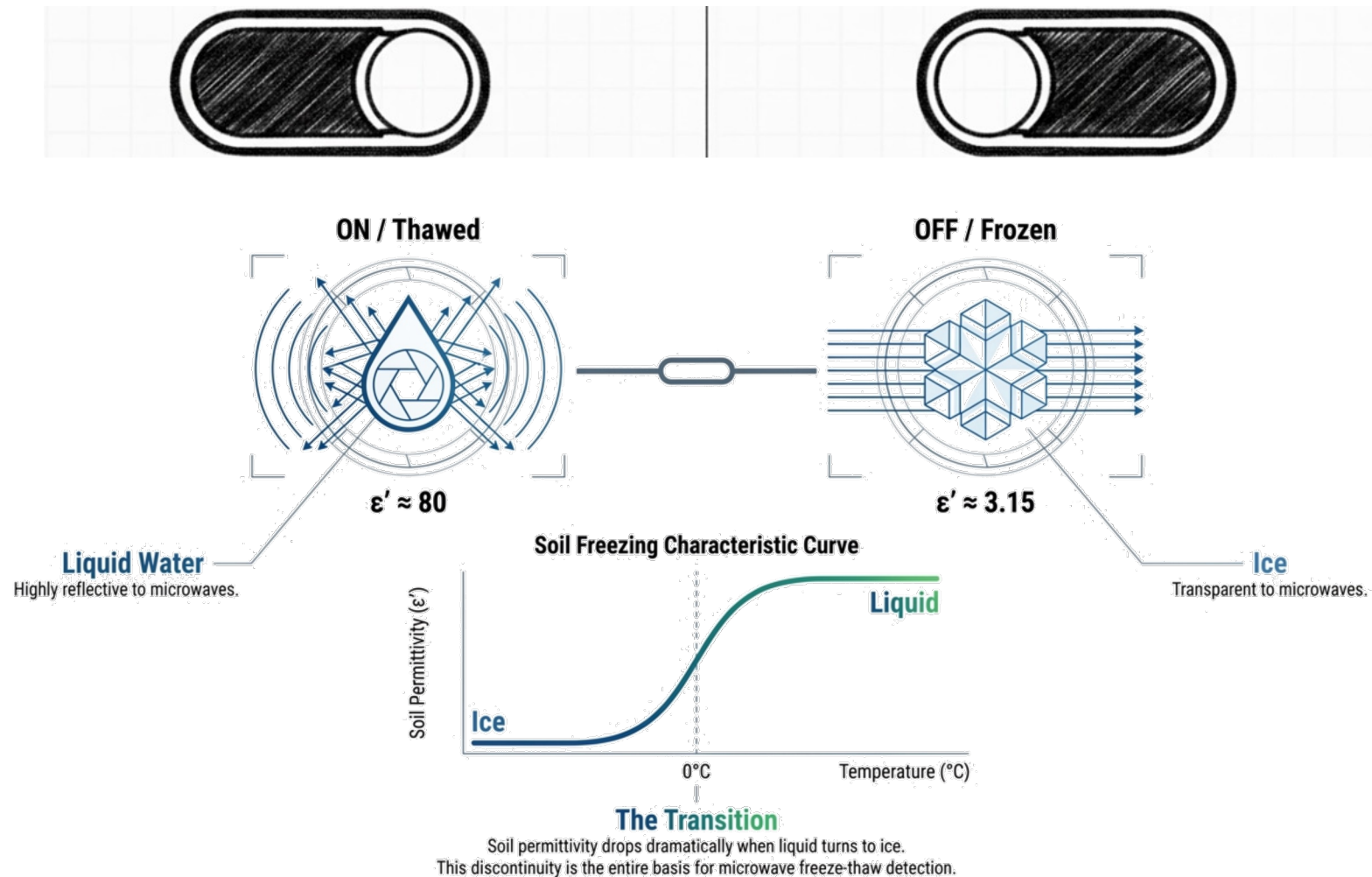
UQTR • TROIS-RIVIÈRES

Does Not Contain CUI. © 2026. California Institute of Technology. Government sponsorship acknowledged.

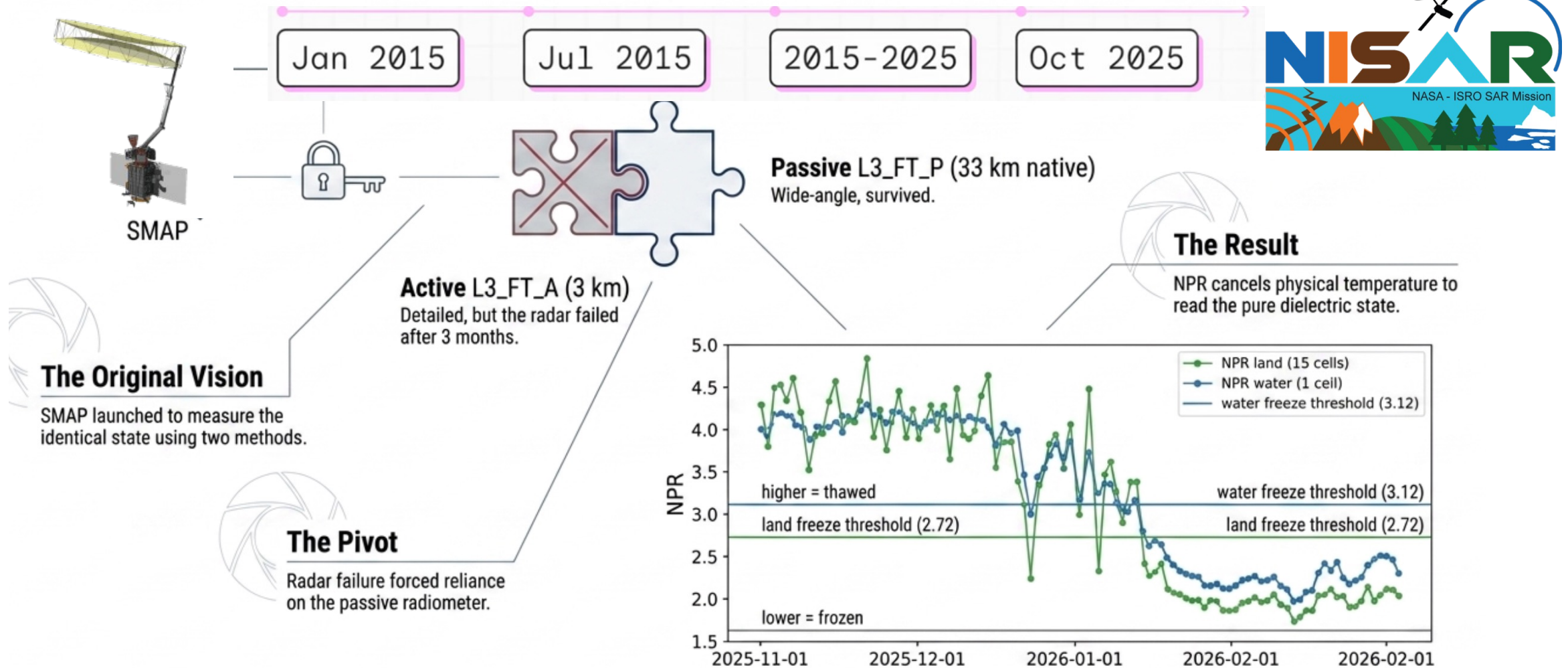


Jet Propulsion Laboratory
California Institute of Technology

Microwave Remote Sensing: Why L-band?



SMAP mission vision: From Active Baseline to Dual-channel Passive Backbone



SMAP was designed **active and passive**

Two L-band observables of the same state, on one shared 6 m antenna (launched Jan 2015).

ACTIVE · RADAR

L3_FT_A

σ^0 backscatter · 3 km · the high-resolution product

$$\Delta(t) = (\sigma^0 - \sigma^0_{fr}) / (\sigma^0_{th} - \sigma^0_{fr})$$

frozen when $\Delta \leq 0.5$

A seasonal threshold on backscatter — designed to resolve freeze at 3 km.

PASSIVE · RADIOMETER

L3_FT_P

NPR polarization ratio · 33 km native · 9 km grid

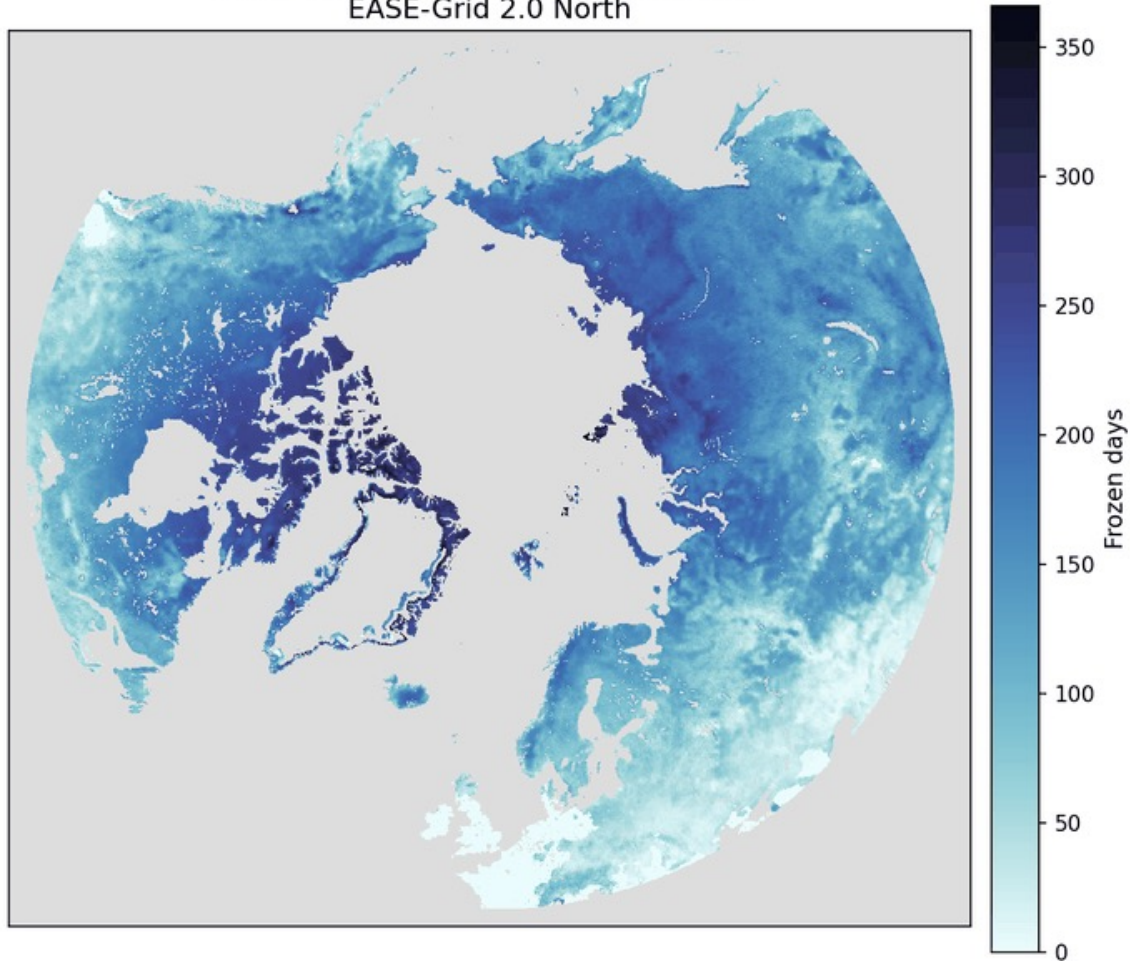
$$NPR = (Tb_V - Tb_H) / (Tb_V + Tb_H)$$

cancels physical temperature → reads state

Reads the dielectric state directly, independent of physical temperature.

What a decade SMAP FT product **enable**

SMAP frozen days 2016 (AM overpass)
EASE-Grid 2.0 North



Tracking key climatological metrics:

- ✓ spring-onset timing, interannual variability, fall freeze, long-term trends, and carbon-cycle phenology.

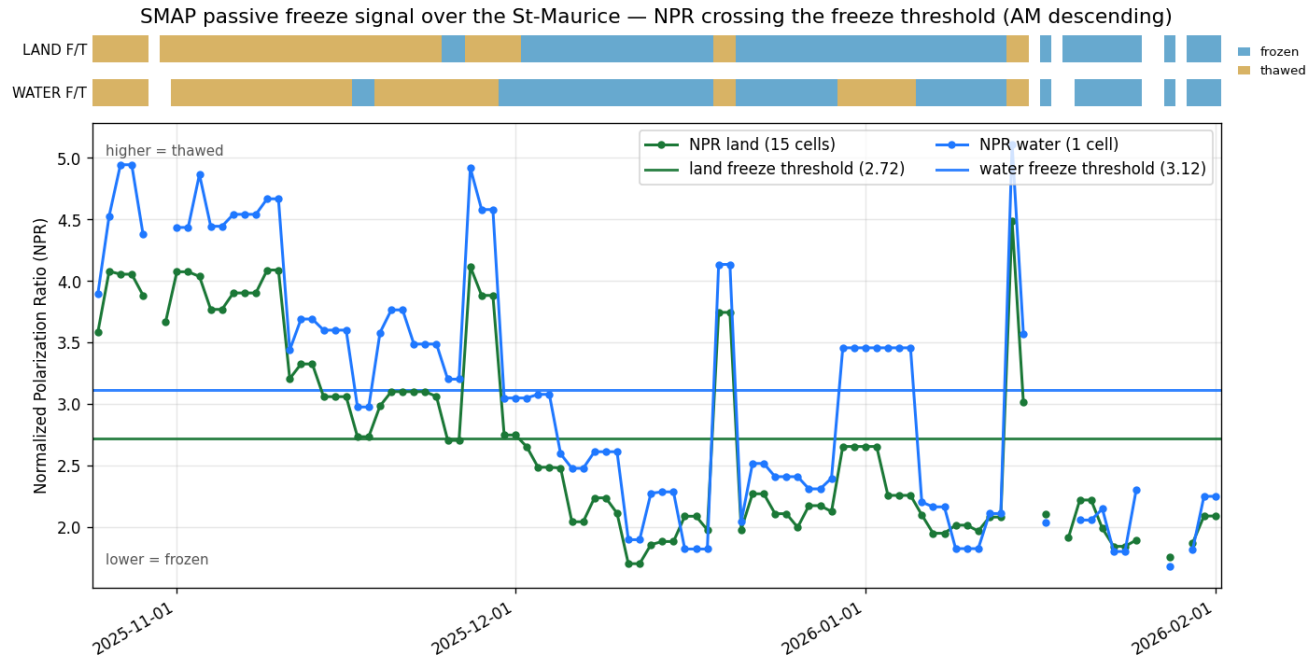
Advancing non-binary freeze-thaw modeling:

- ✓ Baseline algorithm: Binary product
- ✓ **transitional "zero-curtain" phase** where liquid water and ice coexist.

Supporting continental-scale ecological research:

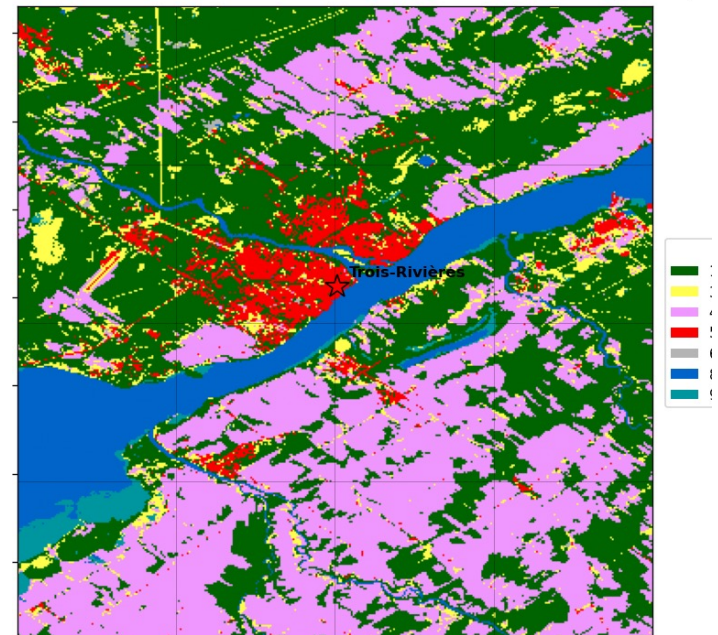
- ✓ northern hydrology, ecosystem dynamics, and carbon cycling

Passive FT @ local scale



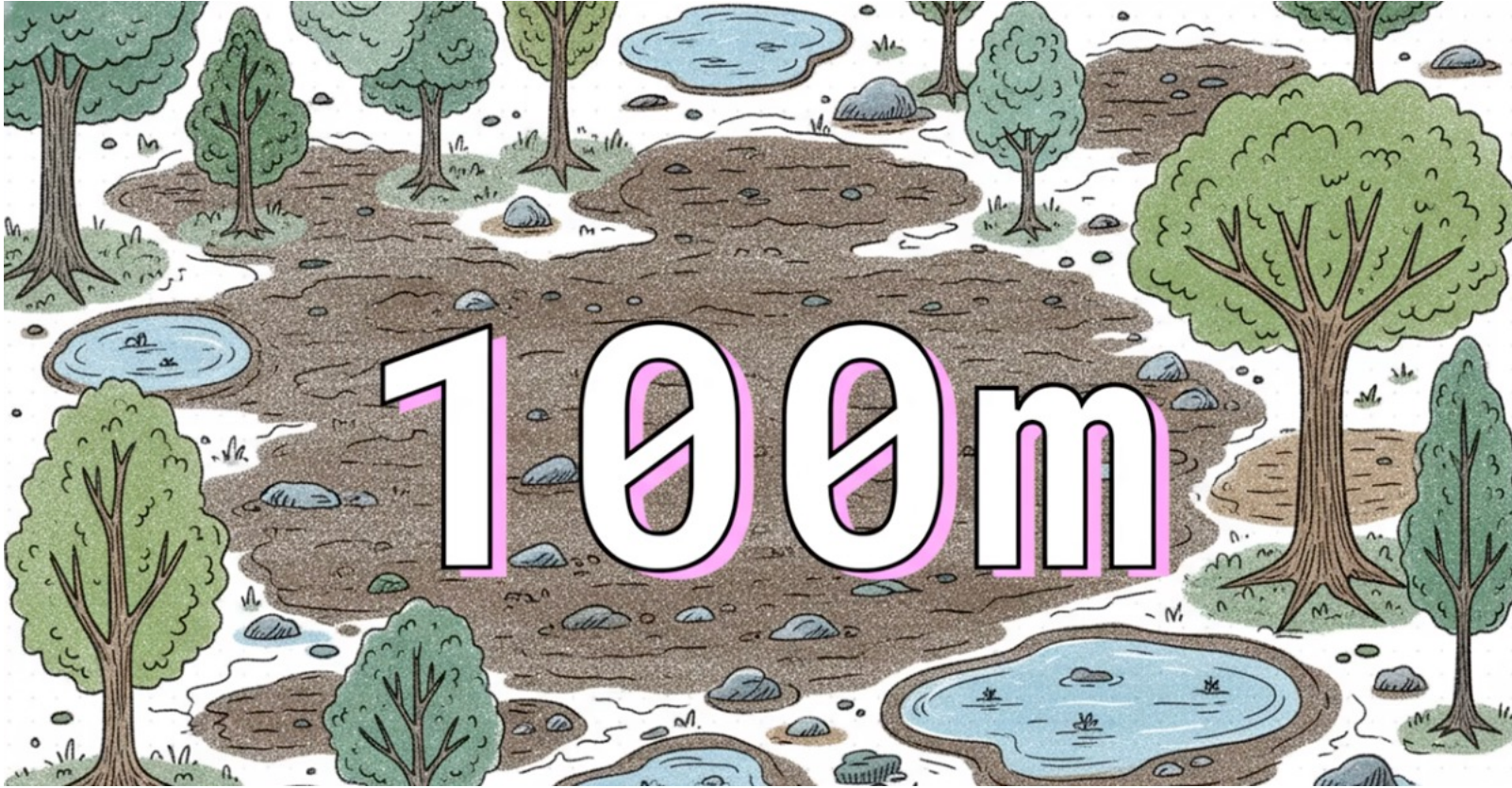
NPR crosses the freeze threshold (land vs water)

Land cover of the St-Maurice tile · ESA WorldCover 2021 (100 m)



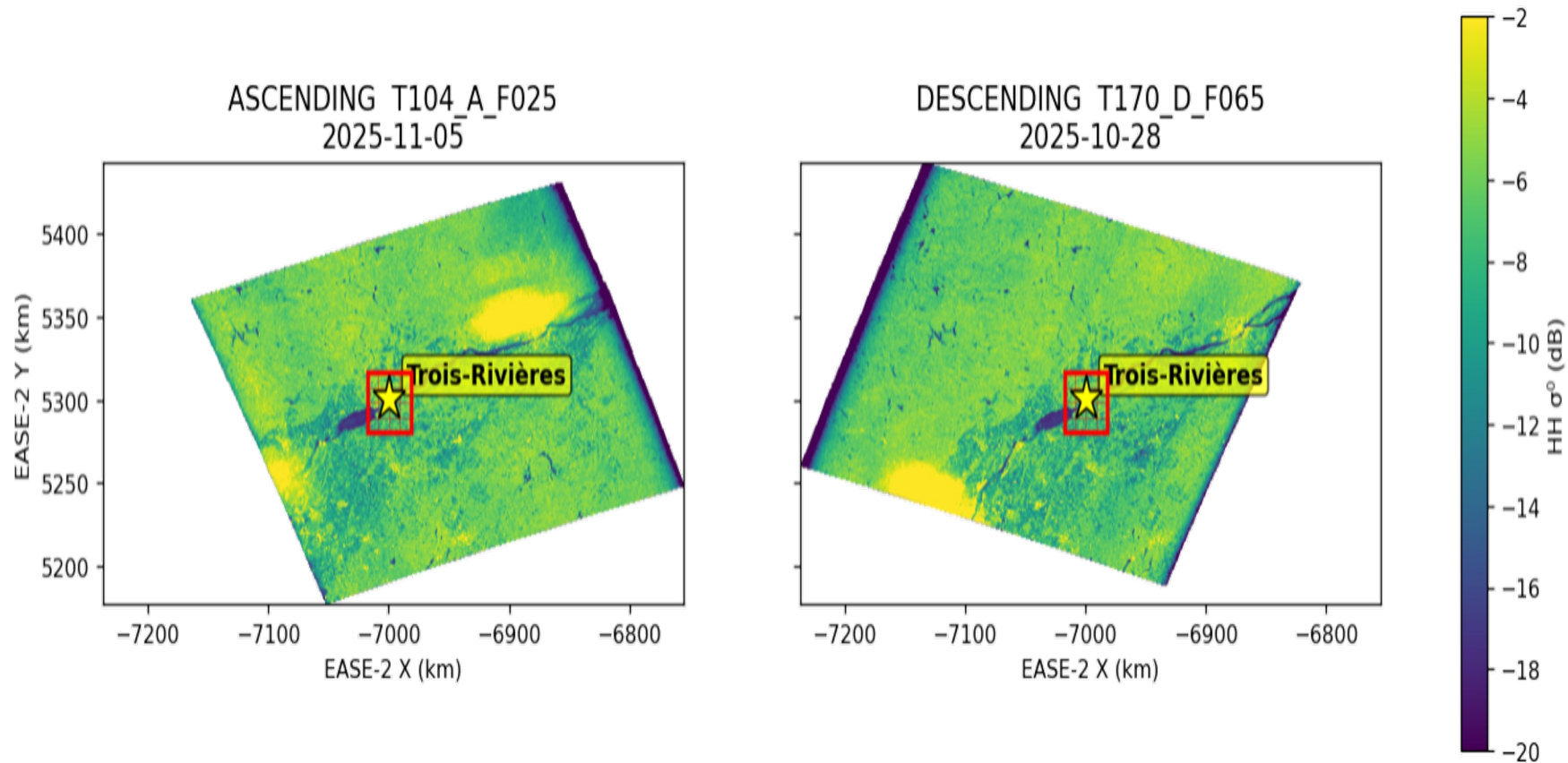
Tile land cover: forest 44% · cropland 34%

L-band SAR is **collecting again (NISAR mission)**



NISAR image over the **St-Maurice**

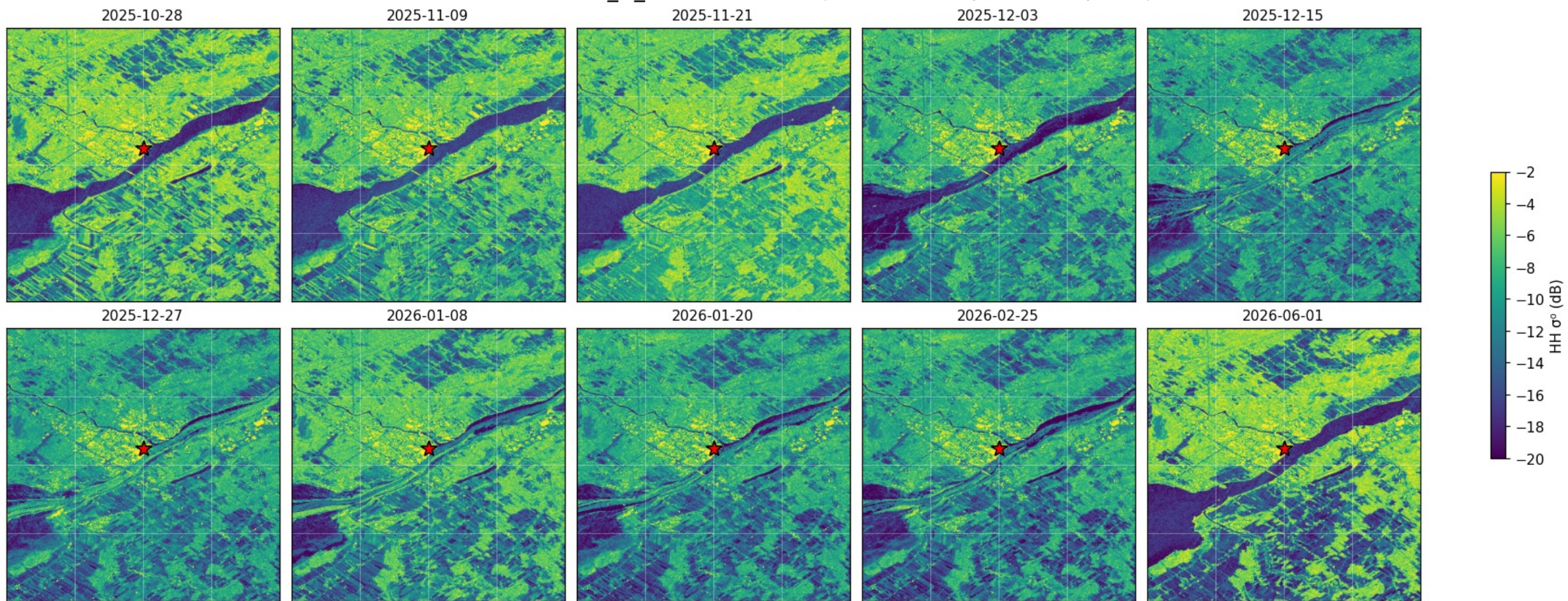
Over ~6 weeks the surface changes state — and that state controls the water budget.



L-band SAR scene · Réservoir Gouin → the St-Lawrence at Trois-Rivières. Can a satellite see this state at the scale that matters?

Let's zoom in a **36km** area

NISAR HH — T170_D_F65 — TR 36km (EASE-2 100m, 9km cells, TR★)

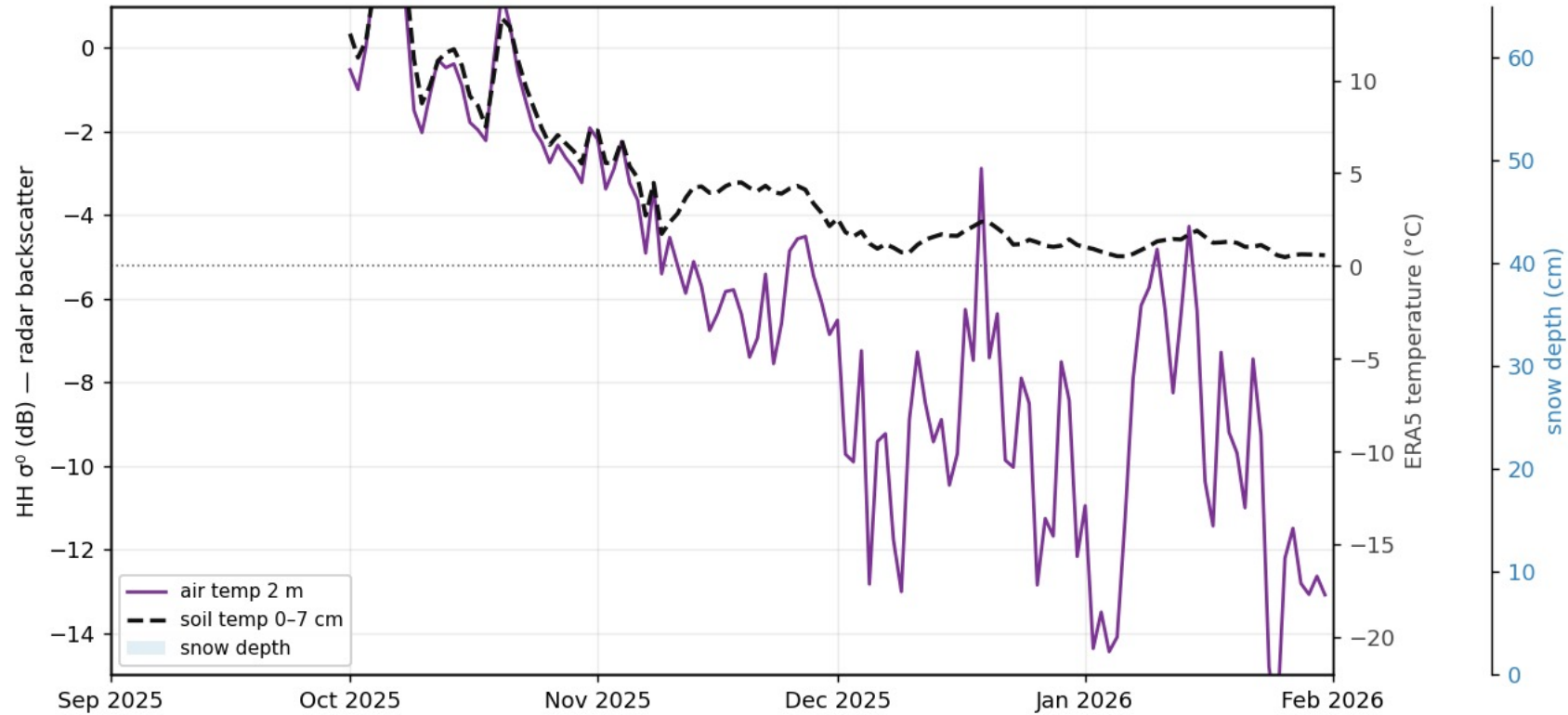


Freeze-up **varies by land cover**

Freeze-up over the St-Maurice — ERA5 reanalysis: air & soil temperature

SMAP land F/T

SMAP water F/T



1 / 8

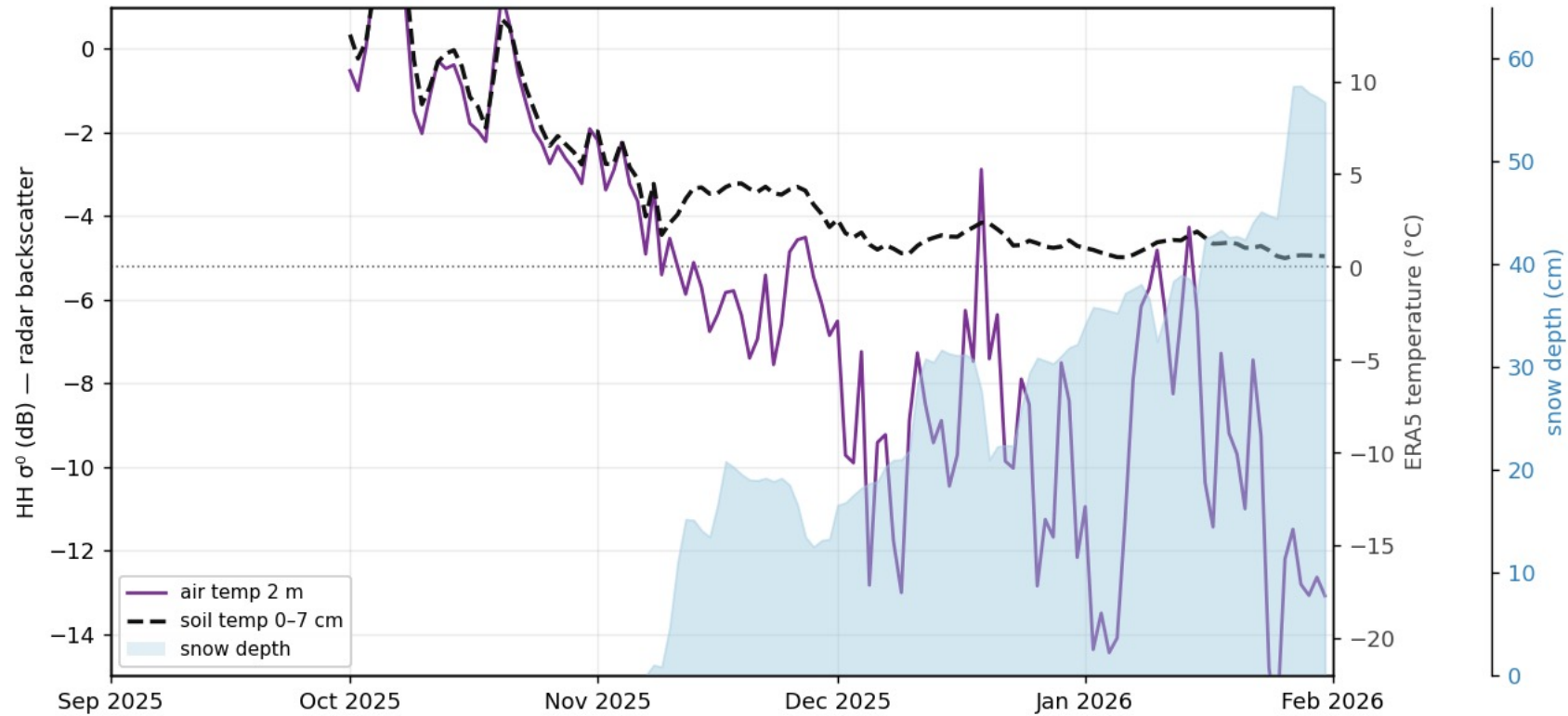
ERA5 reanalysis sets the seasonal frame — air & soil temperature.

Freeze-up **varies by land cover**

ERA5 reanalysis: + snow accumulates as it cools

SMAP land F/T

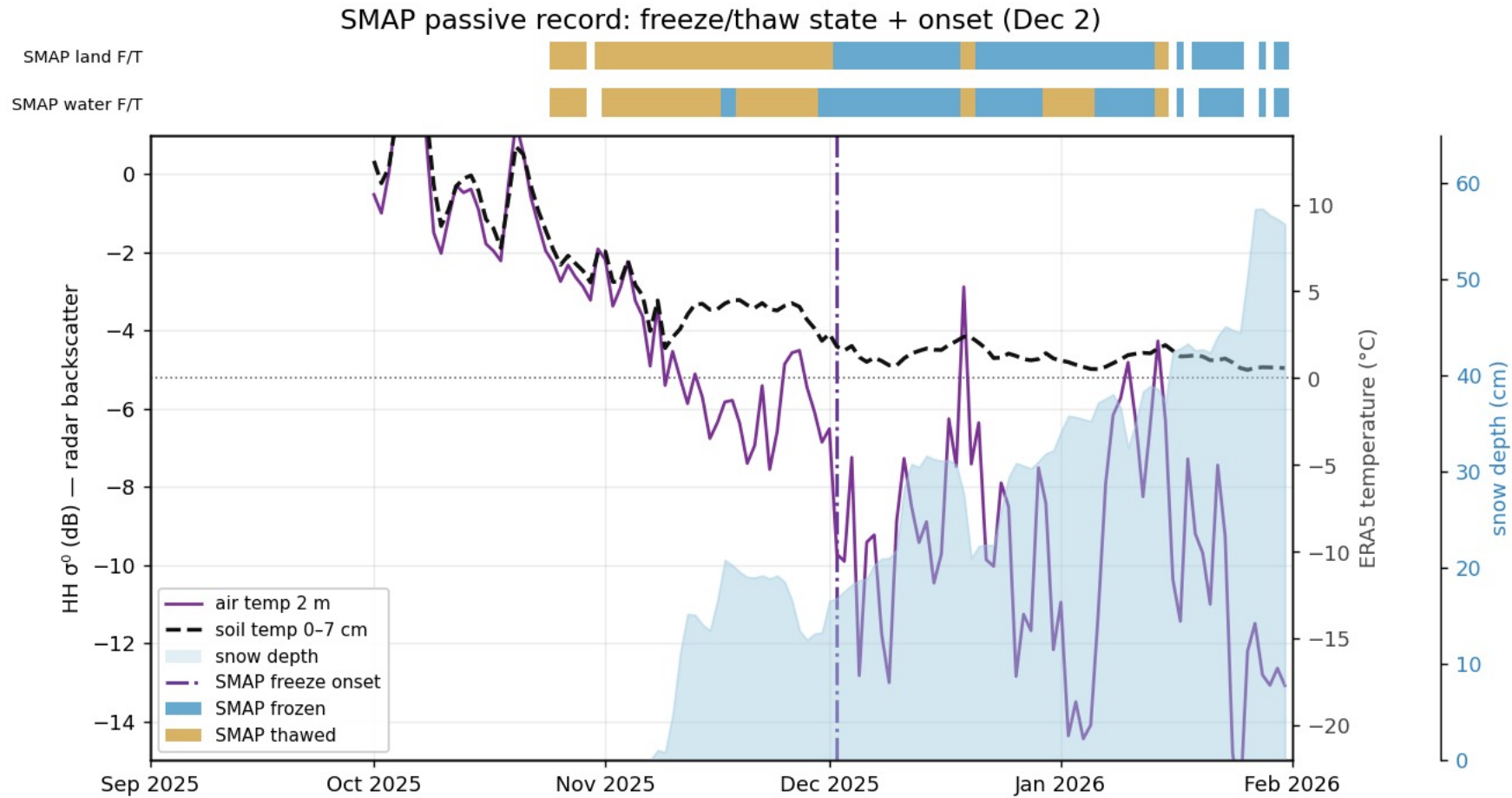
SMAP water F/T



2 / 8

Snow accumulates as it cools.

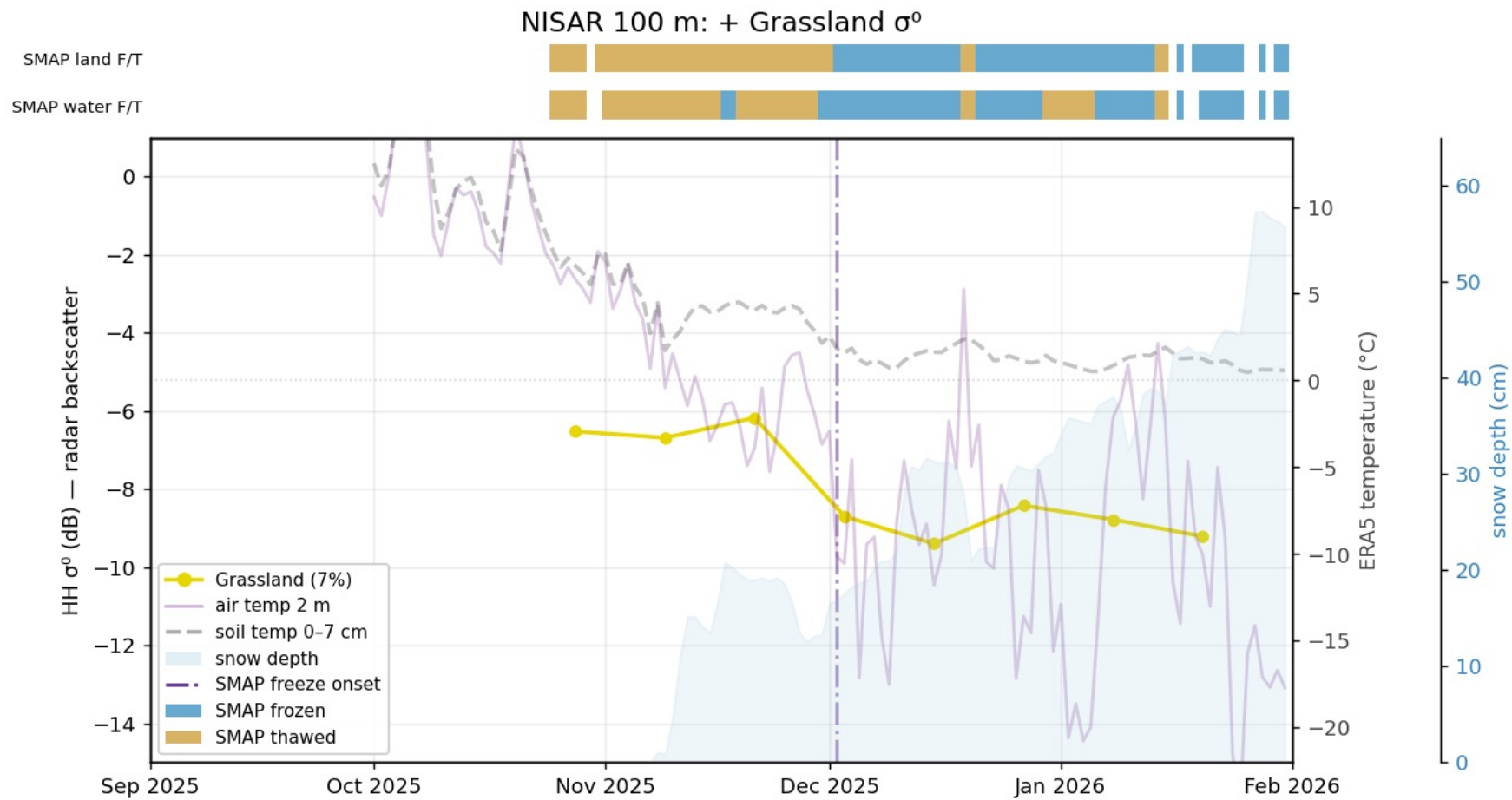
Freeze-up varies by land cover



3 / 8

SMAP passive record:
the coarse binary state,
freeze onset ~Dec 2.

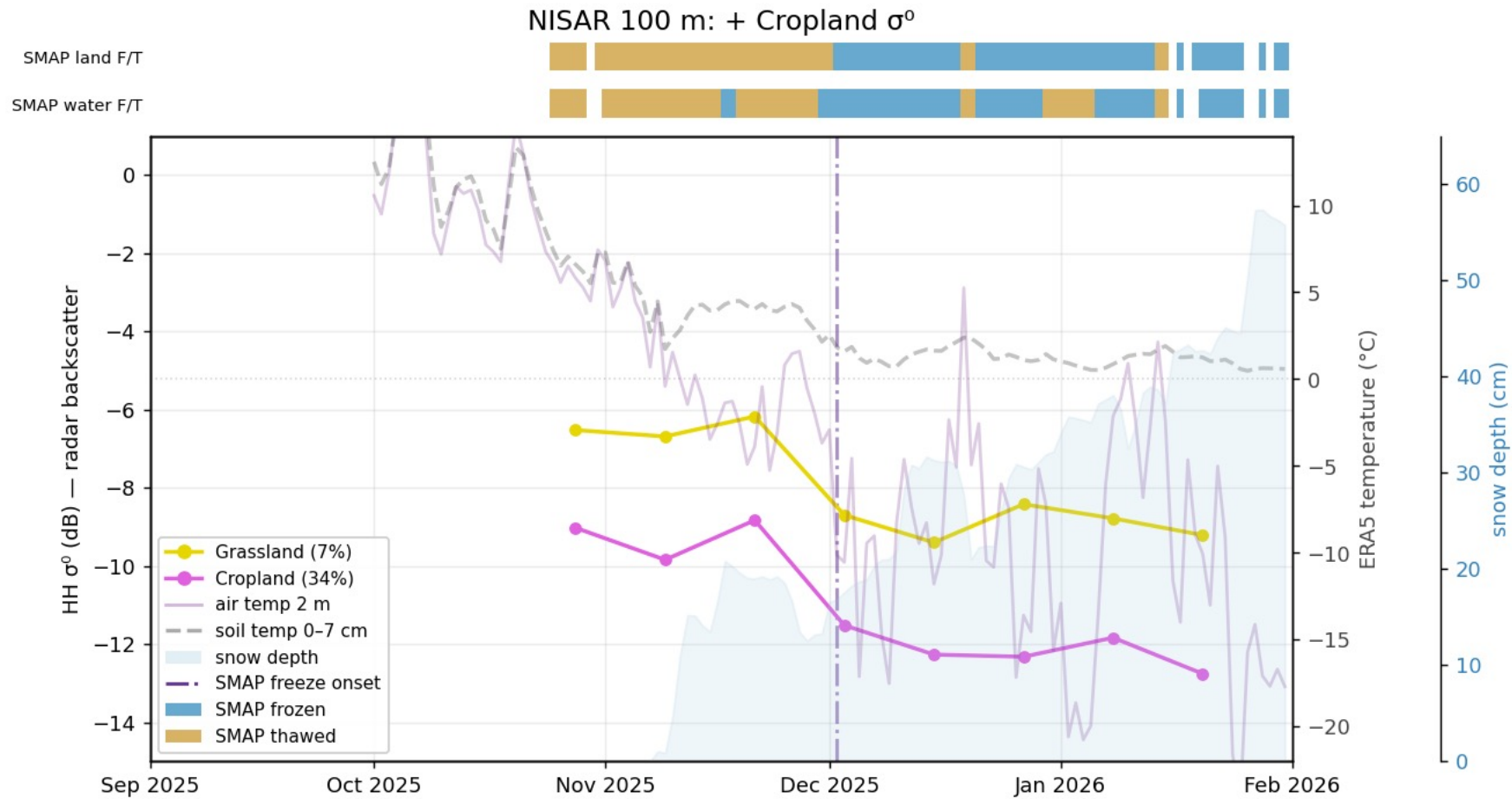
Freeze-up varies by land cover



4 / 8

Zoom to 100 m —
grassland's σ^0 freeze-
down (7% of the cell).

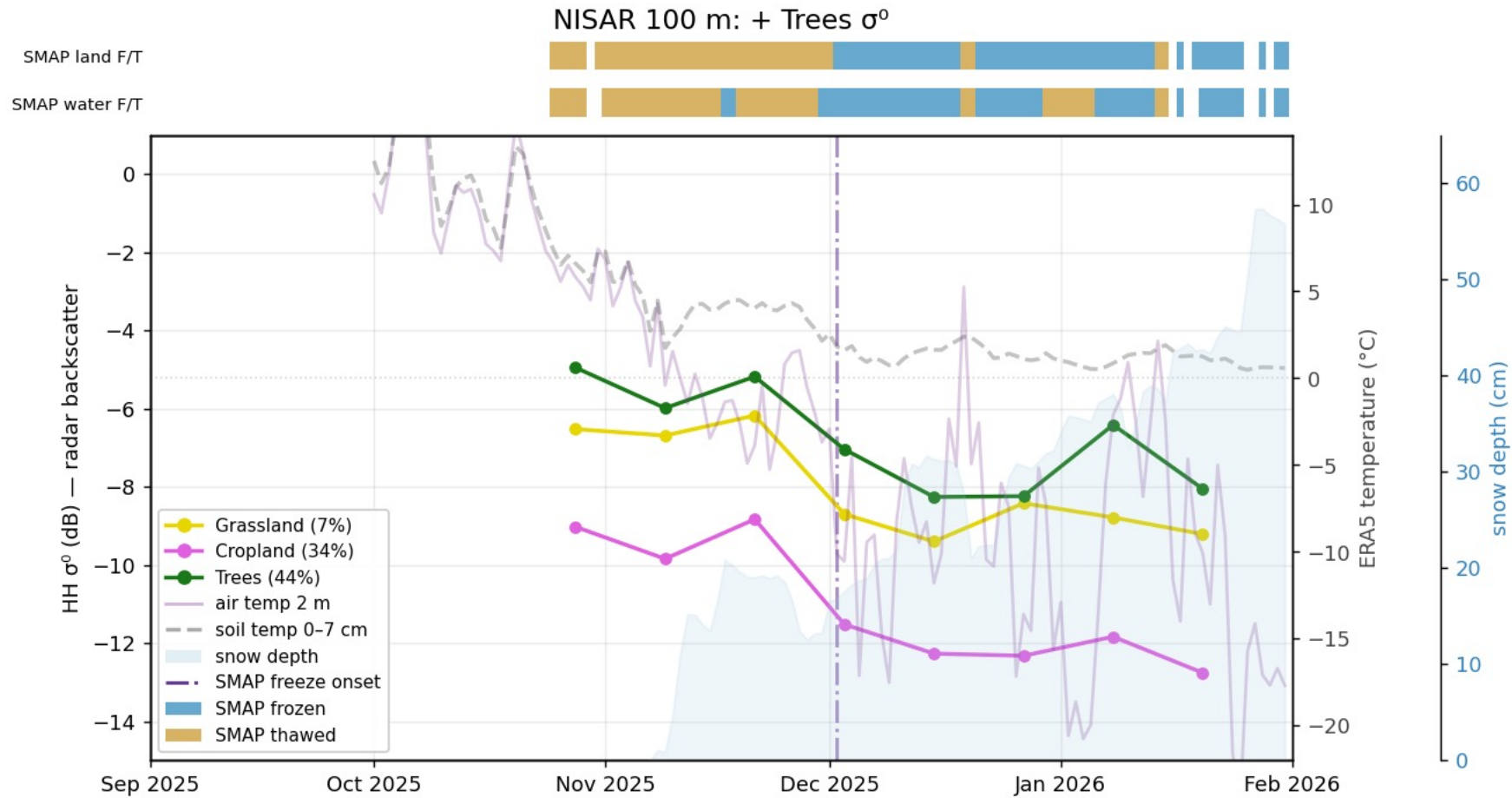
Freeze-up varies by land cover



5 / 8

Cropland adds its own timing and magnitude (34%).

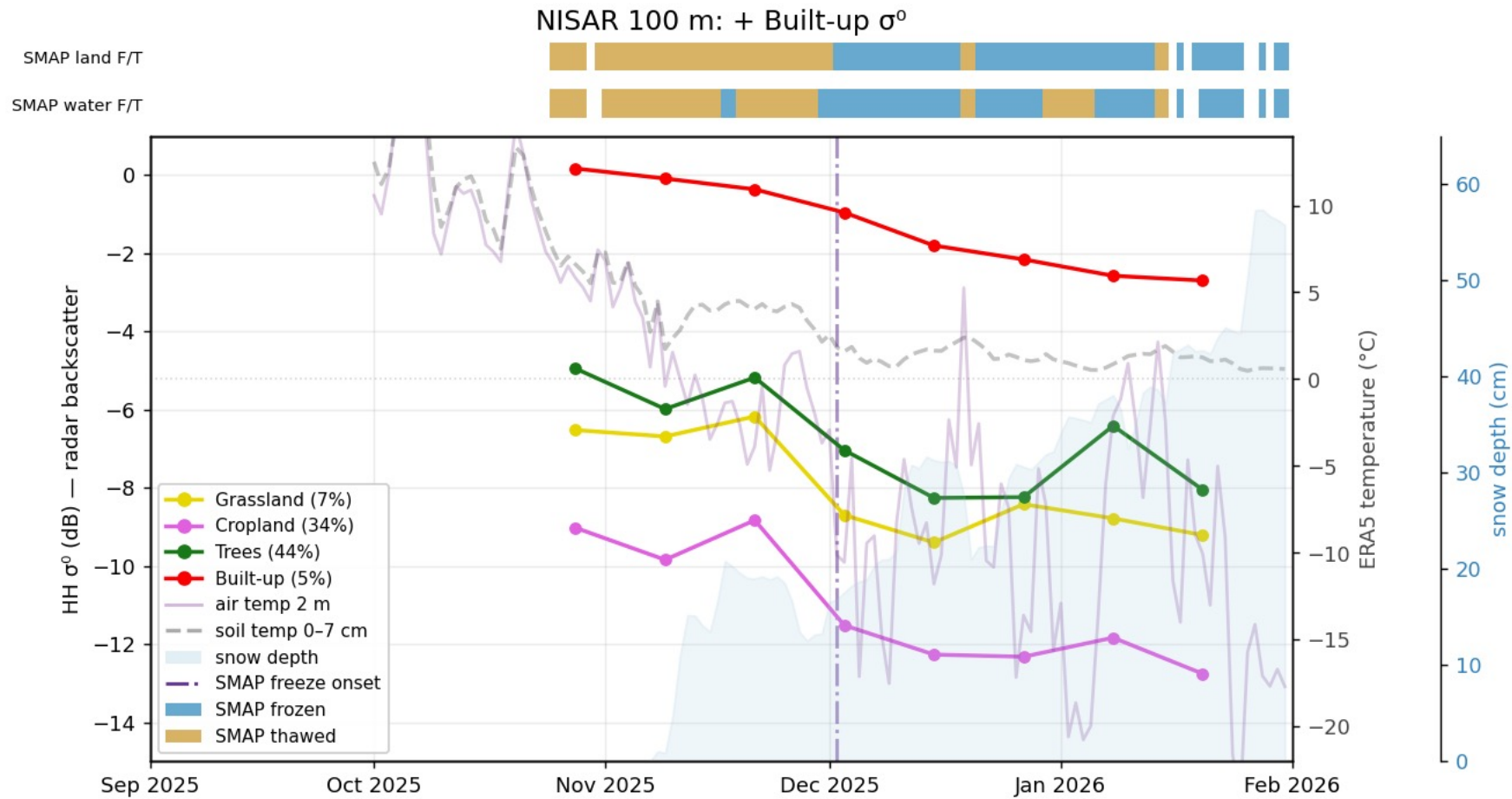
Freeze-up varies by land cover



6 / 8

Trees — the largest class (44%) — a coherent freeze-down.

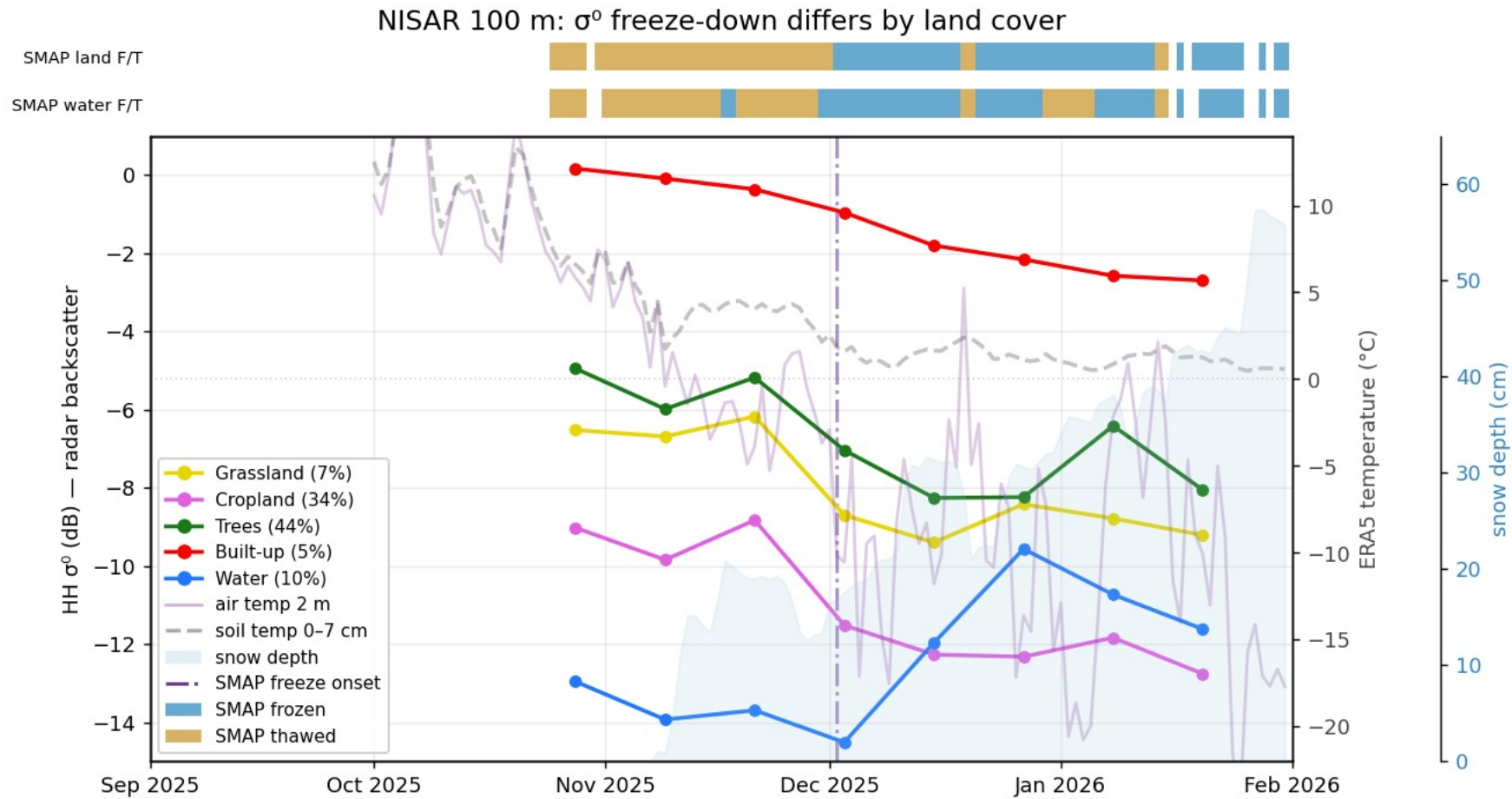
Freeze-up varies by land cover



7 / 8

Built-up changes least
(~3 dB swing).

Freeze-up varies by land cover



8 / 8

One SMAP cell hides land-cover-specific freeze timing and magnitude.

To know if σ^0 resolves freeze, SFCC you need ground truth

ALASKA · HAS IN-SITU

Ground truth lets you test σ^0

- 37 SNOTEL/SCAN stations across Alaska
- 760 (σ^0 , soil-temp, liquid-water) triplets at the 100 m pixel, Oct 2025 – Jan 2026
- Freeze defined from ts crossing 0 °C (≥ 7 d) and the liquid-water collapse
- → a verdict on each pixel

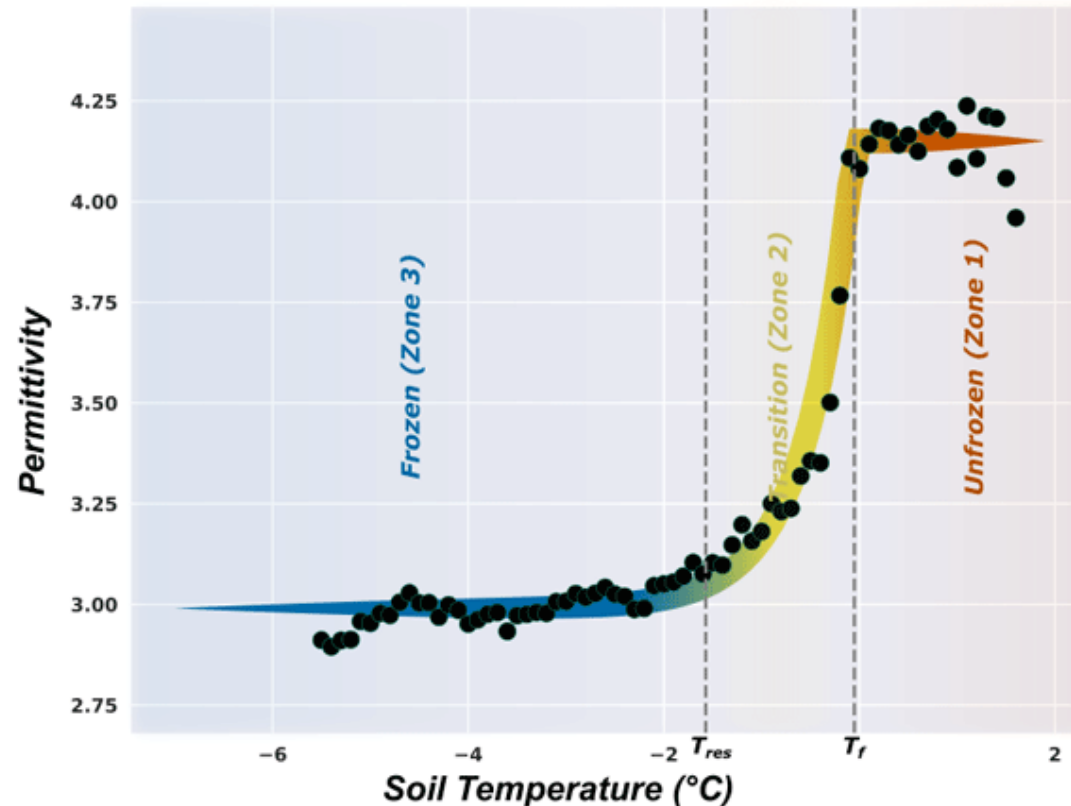
ST-MAURICE · NO IN-SITU

Same σ^0 — but no validation

- The L-band σ^0 analog exists over the basin
- No co-located in-situ to draw or confirm the freeze characteristic
- Half-solved on its own
- → the collaboration ask (next sections)

Beyond binary: continuous freeze state

Soil Freezing Characteristic Curve



The SFCC captures the zero-curtain and partial-freeze states a binary scheme erases.

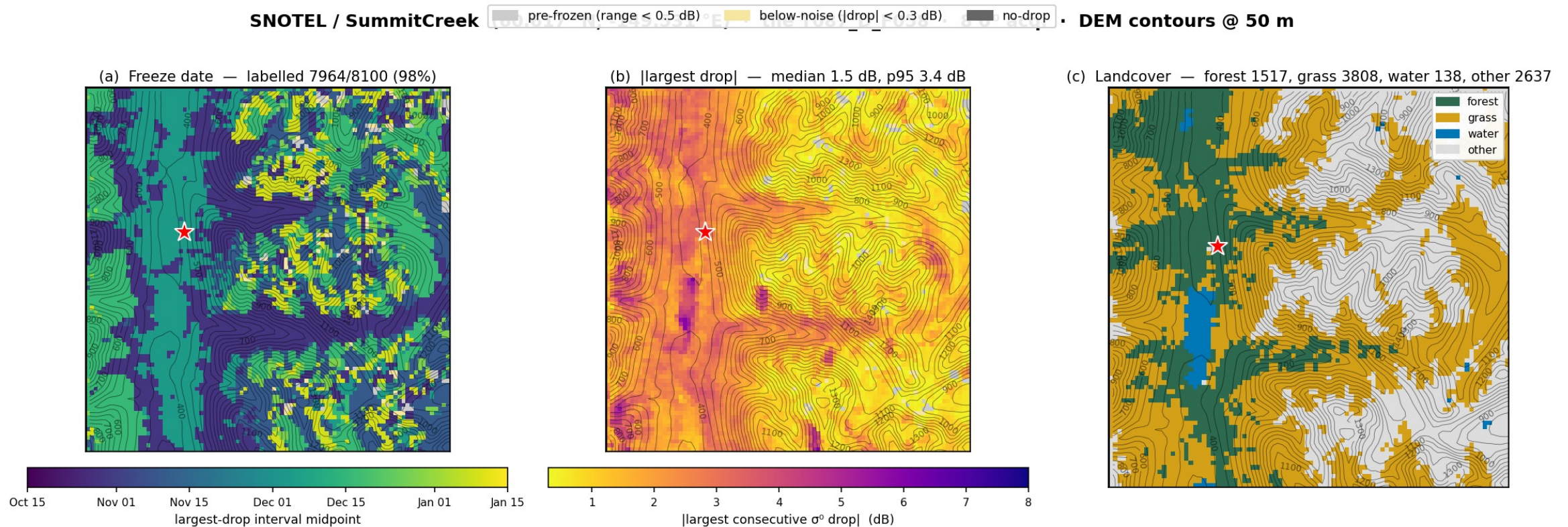
The measurement question

What does σ^0 represent physically at each pixel — and how does it map through the SFCC to hydraulic conductivity (hydrology) and respiration breakpoints (ecosystems)?

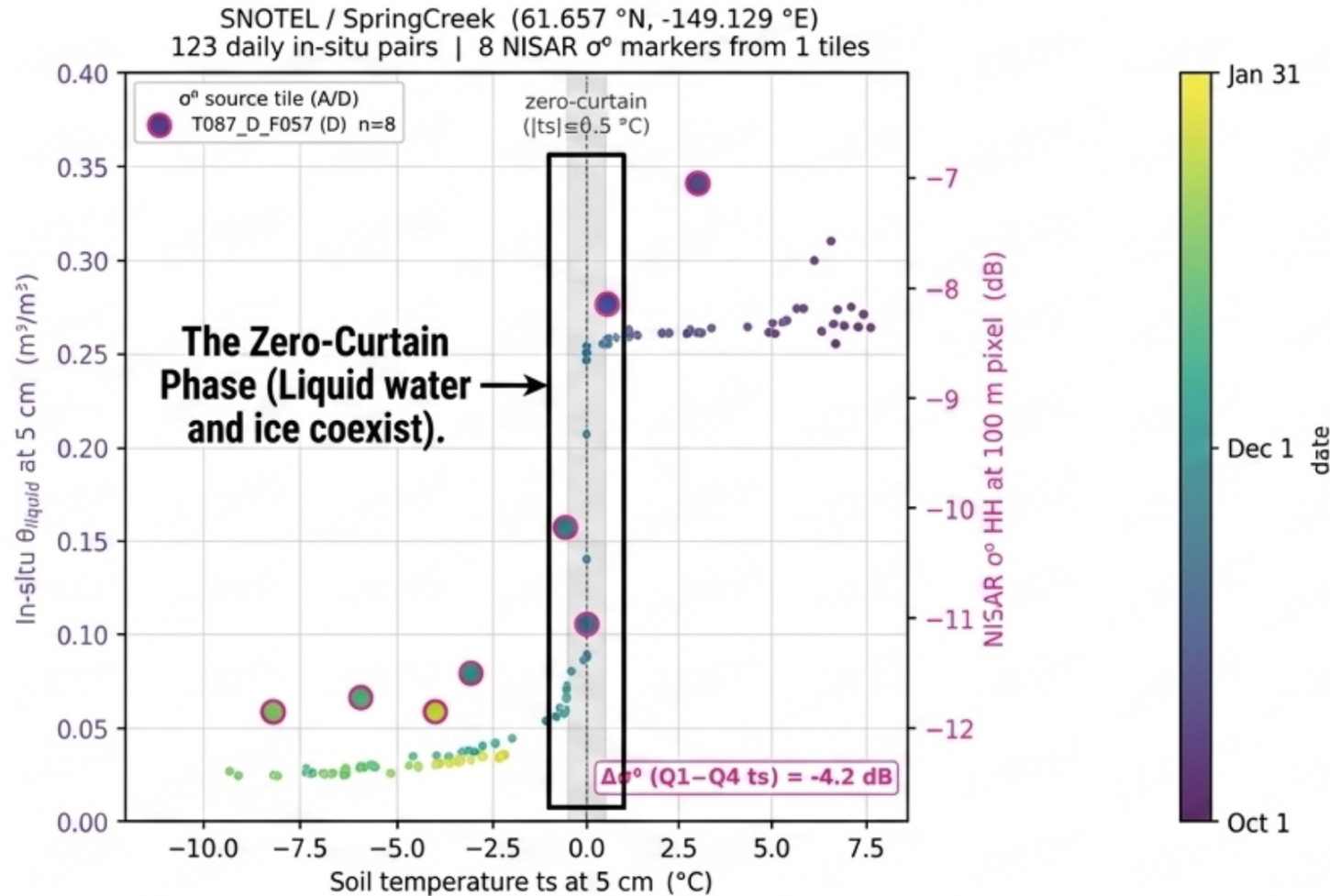
Salmabadi, Hesam, Renato Pardo Lara, Aaron Berg, Alex Mavrovic, Chelene Hanes, Benoit Montpetit, and Alexandre Roy. "In situ monitoring of seasonally frozen ground using soil freezing characteristic curve in permittivity–temperature space." *The Cryosphere* 20, no. 3 (2026): 1635-1654.

One SMAP cell = 8,100 NISAR pixels

SummitCreek, 9×9 km: four land-cover regimes freeze weeks apart — a 7× range in $\Delta\sigma^0$ (median 1.5, p95 3.4, max 6.1 dB).



Surface Freeze Characteristic **Curve** (Alaska)



The Biological Link

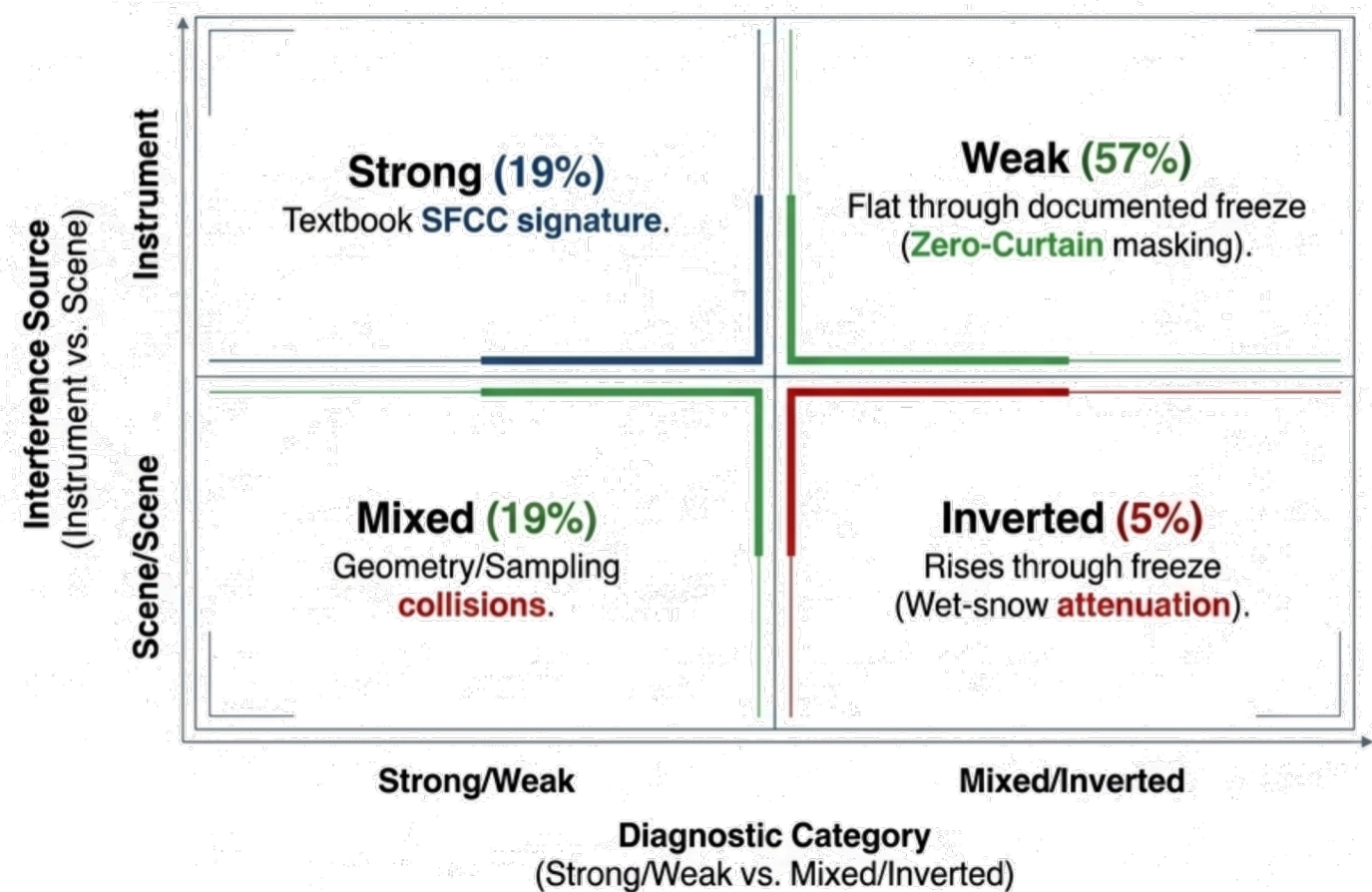
By tracking precise liquid-water transitions rather than just temperature thresholds, satellite data now maps directly to carbon flux and respiration breakpoints.

The Open Question

The remaining ambiguity is a forward-model problem. Linking radar backscatter through the SFCC to hydraulic conductivity requires localized field validation—the next great collaborative frontier.

At 100 m, σ^0 is clean **only part of the time**

37 Alaska stations, sorted by response. The trajectories are mutually indistinguishable from σ^0 alone.



Instrument Noise

Random. We can average it away.

Scene Noise

Physical reality (snow, trees). Averaging fails here.

Sampling Geometry

Ascending vs. descending inconsistencies.

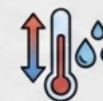
Scene Noise (Real structural interference)



Wet-Snow Attenuation



Canopy Clutter



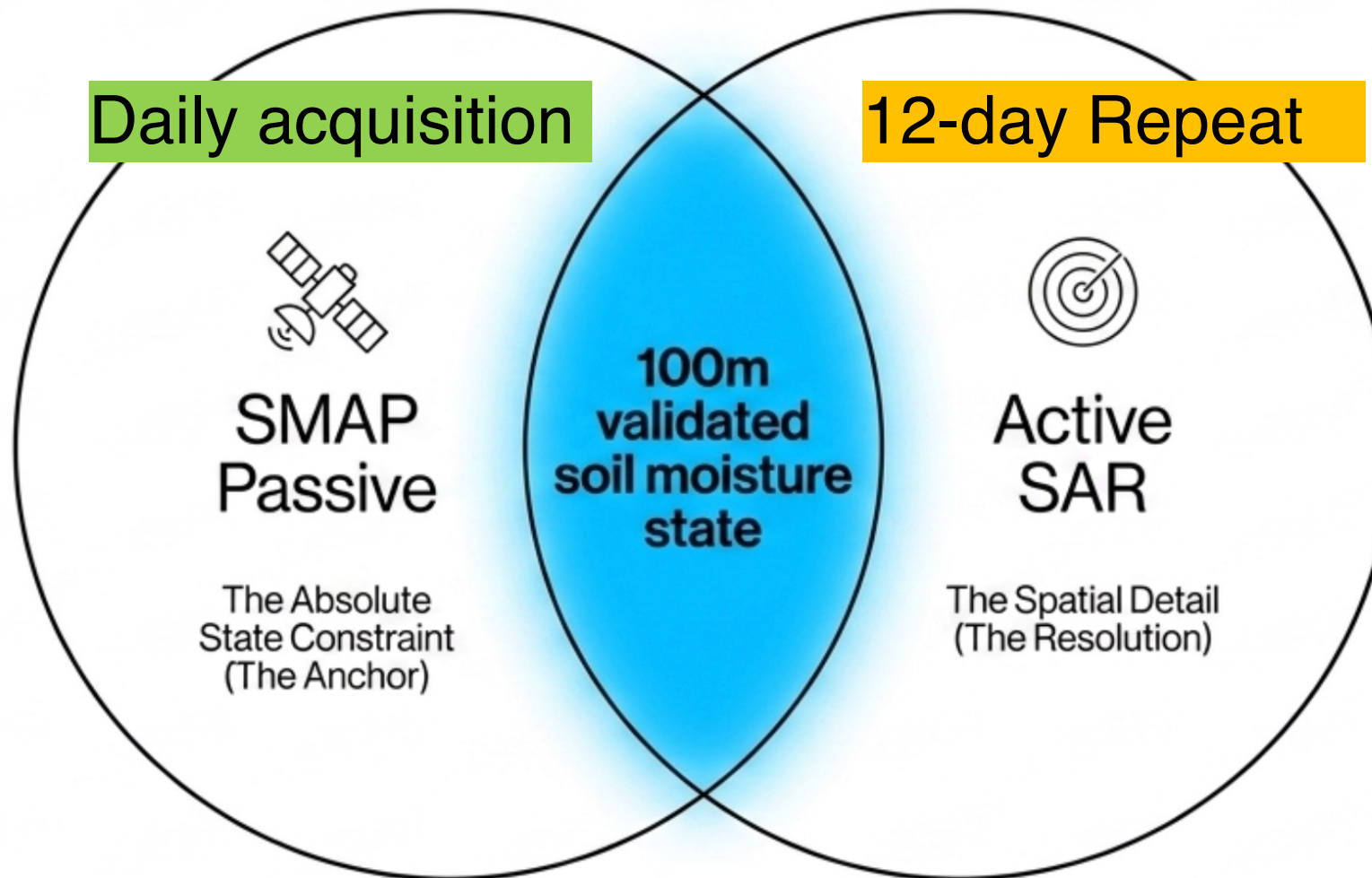
Diurnal Freeze Mixing



Azimuth Anisotropy

Active-Passive Synergy:

Can we Fulfill the SMAP Freeze/Thaw Vision?



10 years of wide-angle SMAP passive data provides the accurate, absolute baseline.

Active SAR provides the 100m spatial resolution.

Together, they act as a lock-and-key mechanism to disentangle complex high-resolution radar returns.

The Open Frontier

Signal Disentanglement: Separating genuine surface roughness from dielectric change.

Open Water Signatures: Modeling lake and river freeze dynamics at 100m.

The Forward Model: Linking the residual 81% ambiguous σ^0 pixels to hydraulic conductivity.

The 100m frontier requires disentangling complex scene noise. The physics provides the frame; local field validation provides the key.

Acknowledgments

This work builds on SMAP mission leadership and a close Canadian collaboration.

SMAP · NASA LEADERSHIP

Simon Yueh

SMAP Project Scientist
NASA JPL

Dara Entekhabi

SMAP Science Team Lead
MIT

Craig Ferguson

SMAP Program Scientist
NASA Headquarters

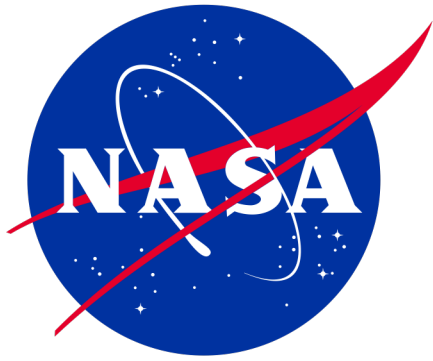
CANADIAN COLLEAGUES

Alexandre Roy & group

Host · Northern Remote Sensing
Université du Québec à Trois-Rivières (UQTR)

Chris Derksen

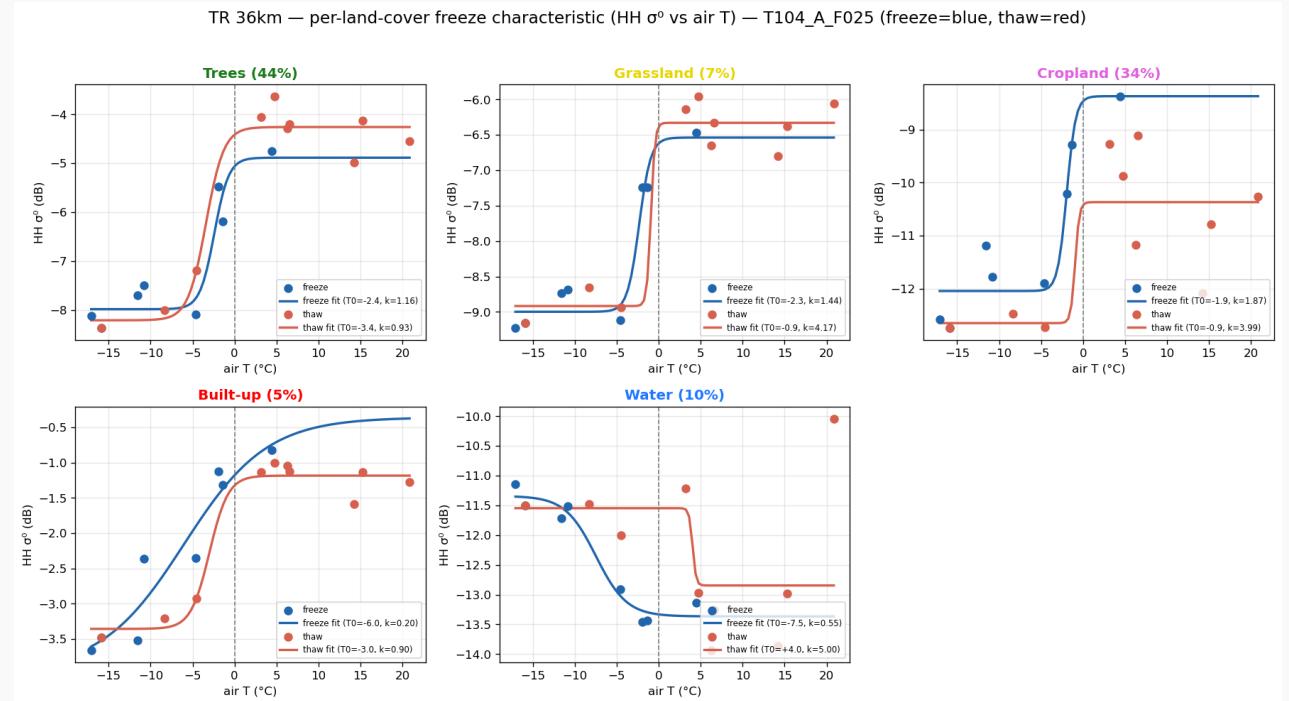
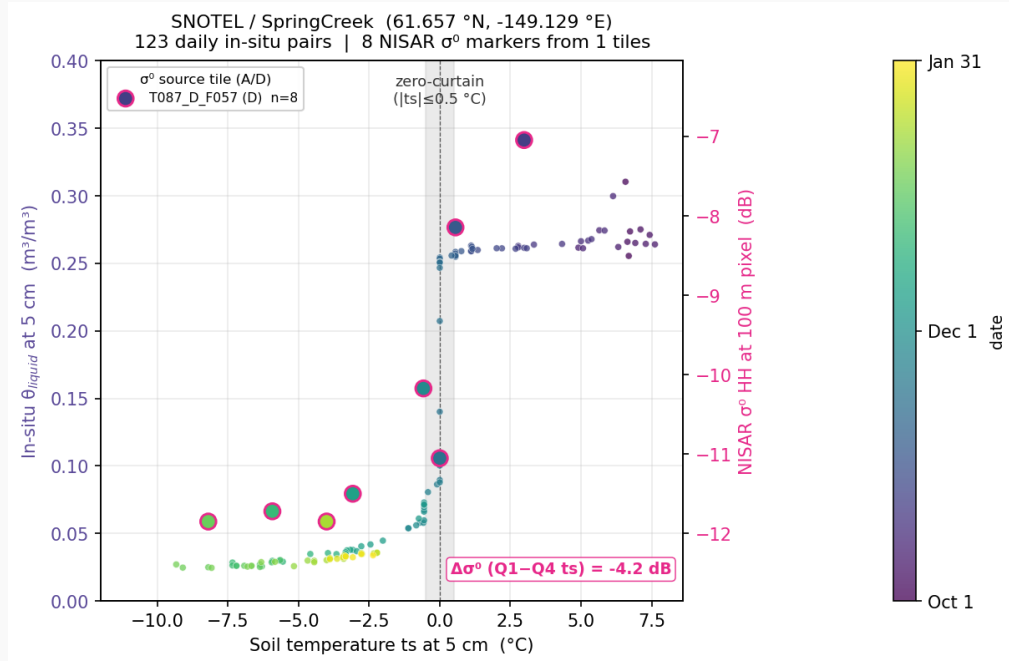
Freeze–thaw collaborator
Environment and Climate Change Canada



Jet Propulsion Laboratory

California Institute of Technology

The σ^0 -temperature freeze characteristic



Alaska (SpringCreek): in-situ liquid water draws & validates the SFCC — σ^0 is the L-band replica.

St-Maurice: the σ^0 analog exists, but no in-situ → the collaboration ask.

Building on the C-band foundation

The host group already showed FT is retrievable over this landscape from SAR. That's the starting point.

C-BAND · SENTINEL-1

Carries open agriculture

- EFTA probabilistic framework, strong agricultural accuracy
- Real in-situ network (2 & 10 cm soil temp, Borealis)
- ~70 m effective after speckle filtering

L-BAND · NEW SAR

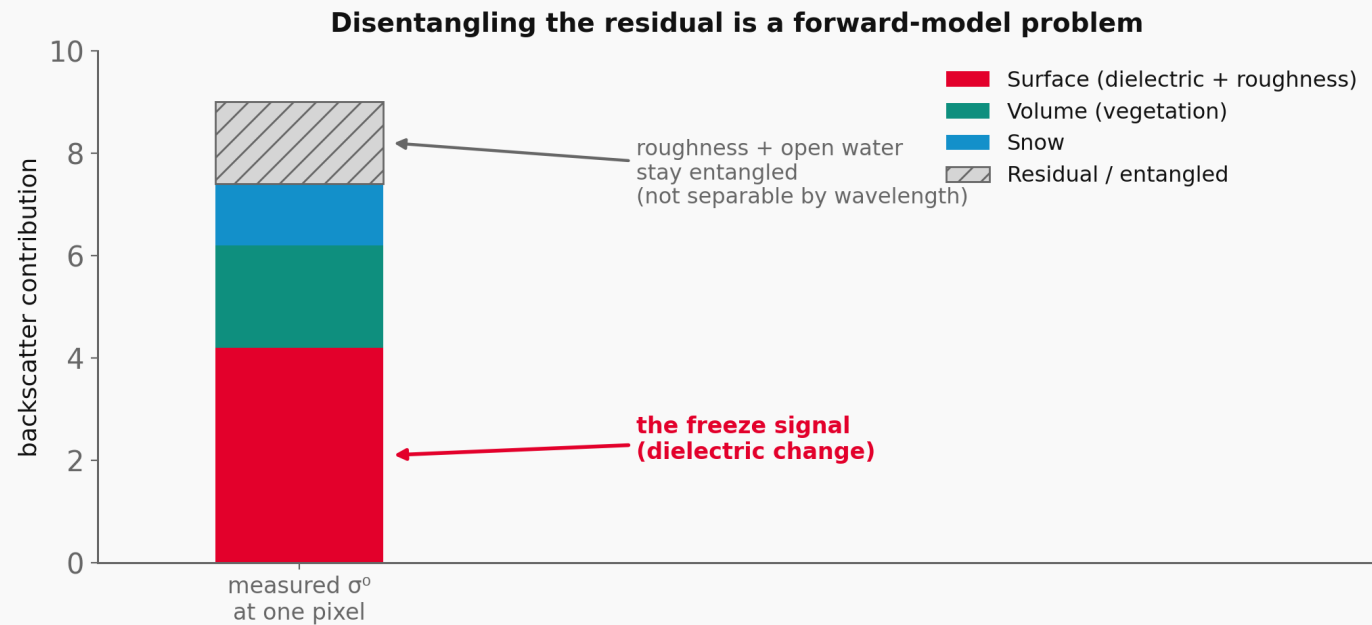
Reaches the forested basin

- Longer wavelength → lowest canopy scene noise
- 100 m tile — same scale as C-band ~70 m
- Bands combine directly, and across passes (prev slide)

The natural step: process L-band over their sites and work the forest problem together.

Credit: Taghipourjavi, Kinnard & Roy 2024

Disentangling the residual



Constrained today

- A decade passive record
- A sub-km active observable
- L-band's scene-noise advantage
- 100 m heterogeneity

Open

- The ambiguous pixels (roughness + open water entangled)
- Validation at watershed scale
- FT $\rightarrow$ SFCC $\rightarrow$ conductivity linkage
- Lake / river freeze-thaw